

NORTH CAROLINA
DEPARTMENT OF STATE TREASURER



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STATE AND LOCAL GOVERNMENT FINANCE DIVISION

“Overview of Local Government Fiscal Issues and Infrastructure Concerns
in Municipal Enterprise Operations?”

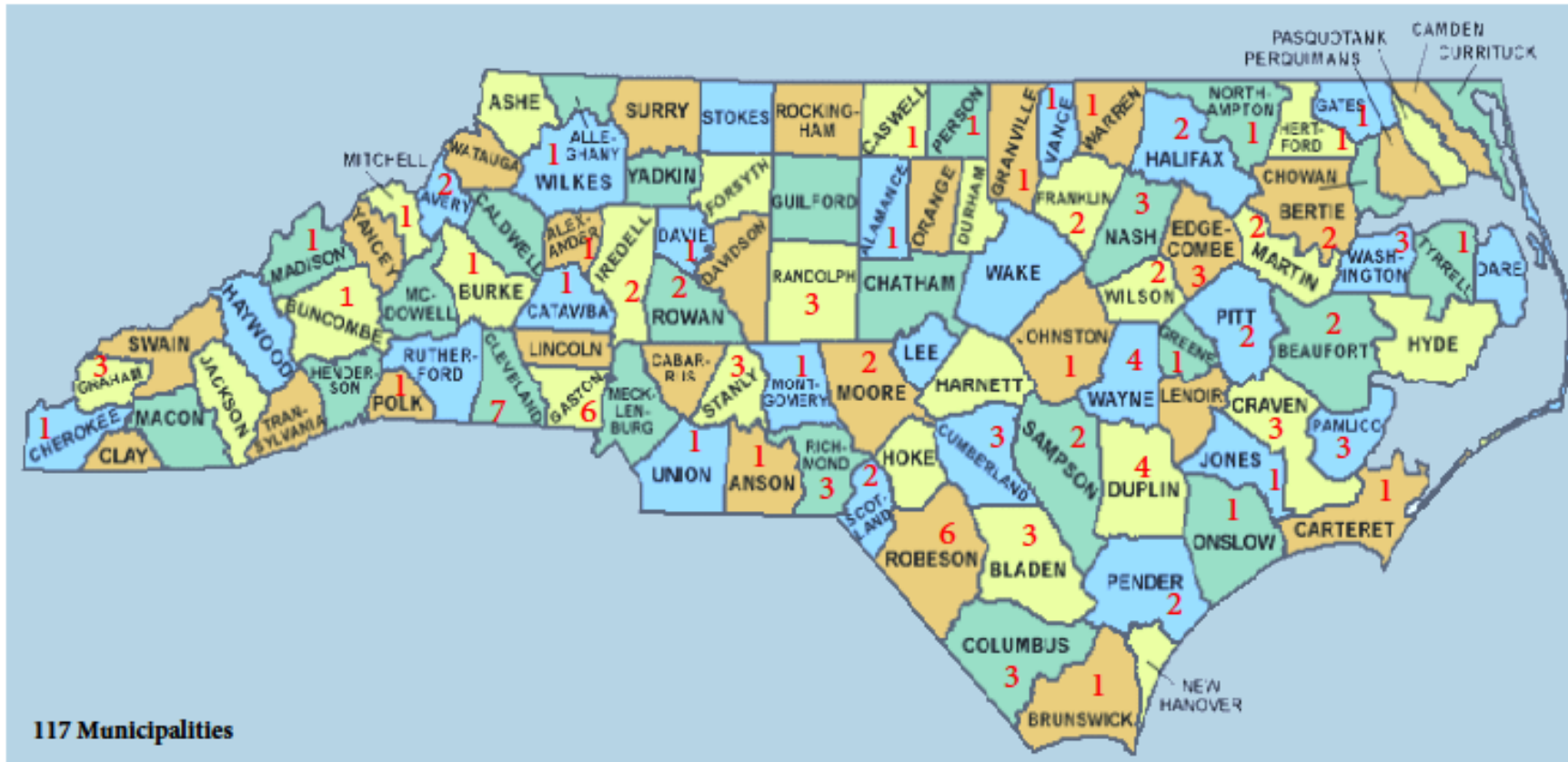


Unit Assistance List

- Existed initially as internal working document to assist in allocation of resources to units; developed into the current published list/map
- Three main areas of data analyzed (data from audited financials)
 - Fund balance available in General Fund
 - Liquidity/profitability in Water/Sewer Funds
 - Status of internal control environment
- Timeliness of annual audit also considered
- Final decision to include or exclude is at discretion of SLGFD management

North Carolina Local Government Units Currently on Unit Assistance List

Based on Audited Financial Statements as of June 30, 2016



117 Municipalities

Troubled Counties (13):

Anson	Martin	Vance
Edgecombe	Northampton	Warren
Greene	Robeson	Washington
Hoke	Scotland	
Hyde	Tyrrell	

117 Municipalities

- 42 have severely financially troubled water and/or sewer systems



Frequent Collaboration with Other Agencies

- Information on UAL and other units shared regularly with DEQ and SWIA
 - Shared process of UAL designation with DEQ and SWIA as part of their troubled system protocol development
 - Meet quarterly with DEQ to exchange information and discuss ideas on how to best serve units in need
- Audit and AFIR data shared with EFC annually plus other data on ad hoc basis



Frequent Collaboration with Other Agencies

- Partner with DEQ, EFC on Fair Bluff/Fairmont project to develop solutions that have applicability to create sustainable systems across the State
 - Service delivery options
 - Challenges of declining economies and populations at same time struggling with aging/failing utility systems
- Partner with NCLM and NCACC